IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark Shu et al.

Examiner: Unassigned

Serial No.:

Pending

Group Art Unit: Unassigned

Filed:

Herewith

Docket: M190.148.101 / P-11480.00

Title:

SUTURE LOCKING ASSEMBLY AND METHOD OF USE

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached form 1449 be considered by the Examiner and made of record. Any required copies of patents, publications or other documents are enclosed for the Examiner's review. Pursuant to the provisions of M.P.E.P. 609, Applicant further requests a copy of the 1449 form, marked as being considered and initialled by the Examiner, be returned with the next Official Communication.

Since this Information Disclosure Statement is being submitted within three months of filing national application; or date of entry of national application; or before the mailing date of the first Office Action on the merits, a fee has not been enclosed. However, if such fee is required, the Patent Office is hereby authorized to charge Deposit Account No. 500471 for fees as set forth under 37 C.F.R. 1.17(p).

Applicant respectfully requests consideration of these references during prosecution of the above-identified matter. The Examiner is invited to contact the Applicant's representative at

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Title: SUTURE LOCKING ASSEMBLY AND METHOD OF USE

the below-listed telephone number if there are any questions regarding this Communication or the tendered references.

Respectfully submitted,

Mark Shu et al.,

By their attorneys,

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Facsimile: (612) 573-2005

Dated: March 3

TAC:jmc

Timothy A Cz

Reg. No. 39,649\

CERTIFICATE UNDER 37 C.F.R. 1.10:

"Express Mail" mailing label number: EV 409 551 987 US

Date of Deposit: March 3, 2004

The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By_

Vernell Beal

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| FORM PTO- | 1449 | Docket No.: M190.148.101 / P-11480.00 | | | Serial No.: Pending | | |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | | *** | Applicant: Mark Shu et al. | | | |
| | | | Filing Date: Herewith | | | Group Art: N/A | |
| | | U.S. PATE | NT DOCUMENTS | | | | |
| Examiner Initial | Document No. | Date | Name | Class | Sub Class | Filing Date If Appropriate | |
| AA | 6,066,160 | May 23, 2000 | Colvin et al. | | | | |
| AB | 6,113,632 | Sep. 4, 2000 | Reif | | | | |
| AC | 6,139,575 | Oct. 31, 2000 | Shu et al. | | | | |
| AD | US 2002/0058994A1 | May 16, 2002 | Hill et al. | | | | |
| AE | US 2003/0023302 A1 | Jan. 30, 2003 | Moe et al. | | | | |
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| AO | | | | | | Yes | |
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DATE CONSIDERED: EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXAMINER: